

Neutralizing Antibody Immune Correlates for a Recombinant Protein Vaccine in the COVAIL Trial

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For COVAIL recipients of a coronavirus disease 2019 (COVID-19) Sanofi booster vaccine, neutralizing antibody titers were assessed as a correlate of risk (CoR) of COVID-19. Peak and exposure-proximal titers were inverse CoRs with covariate-adjusted

hazard ratios (95% confidence intervals) 0.30 (0.11, 0.78) and 0.25 (0.07, 0.85) per 10-fold increase in weighted average titer.

Keywords. correlate of risk; COVID-19 booster; exposure-proximal titer; Omicron; variant vaccine booster.

The COVID-19 Variant Immunologic Landscape (COVAIL) trial (NCT05289037) in the United States assessed the safety and immunogenicity of second coronavirus disease 2019 (COVID-19) variant vaccine boosters [1]. COVAIL was reviewed and initially approved by the Advarra Central Institutional Review Board, with written informed consent obtained from all trial participants before enrollment. This report considers Stage 3, which from June 6 to 13, 2022, randomized 146 participants to 1 of 3 AS03-adjuncted, pre-S dTM [transmembrane-deleted] recombinant protein vaccine products (Sanofi) differing by the Spike protein component(s): Prototype (ancestral), Beta, Beta + Prototype. From serum samples collected pre-vaccination (D1) and at Days 15 (D15), 29, 91, 181, 50% inhibitory dilution neutralizing antibody (nAb) titers were measured against D614G (B.1.D614G), Beta, Delta, Omicron BA.1, and Omicron BA.4/BA.5 using a validated pseudovirus neutralization assay (Monogram Biosciences). The nAb titers have arbitrary units/mL (AU/mL), where for D614G multiplying values by 0.0653 converts values to the International Standard scale [2].

For the Sanofi booster recipients, we assessed the 5 nAb titer markers measured at D15 as absolute level and as fold-rise from D1, as a correlate of risk (CoR) of COVID-19 between 7 and 188 days post D15 (~6-month period), referred to as “peak CoR” analysis. We also assessed a sixth marker: the maximum diversity weighted [3] geometric mean of the nAb titers against the 5 strains. We also assessed predicted-at-exposure values of each of the 6 markers as exposure-proximal CoRs of COVID-19. The COVID-19 endpoint was a self-reported or study-conducted positive severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) test, with onset date the earliest positive test date [1]. Of the 146 participants, 142 were eligible for CoR analysis based on having D1 and D15 nAb data, not having a protocol-defined eligibility deviation [1], and not having an early COVID-19 endpoint by 6 days post D15.

All correlates analyses adjusted for baseline participant factors (detailed below) that could putatively confound the association of nAb titer with COVID-19. Cumulative incidence and peak CoR analyses fit models using a study time scale, with time origin being D15, and adjusted for a baseline risk score built by ensemble statistical learning (Statistical Analysis Plan Section 7.1 in

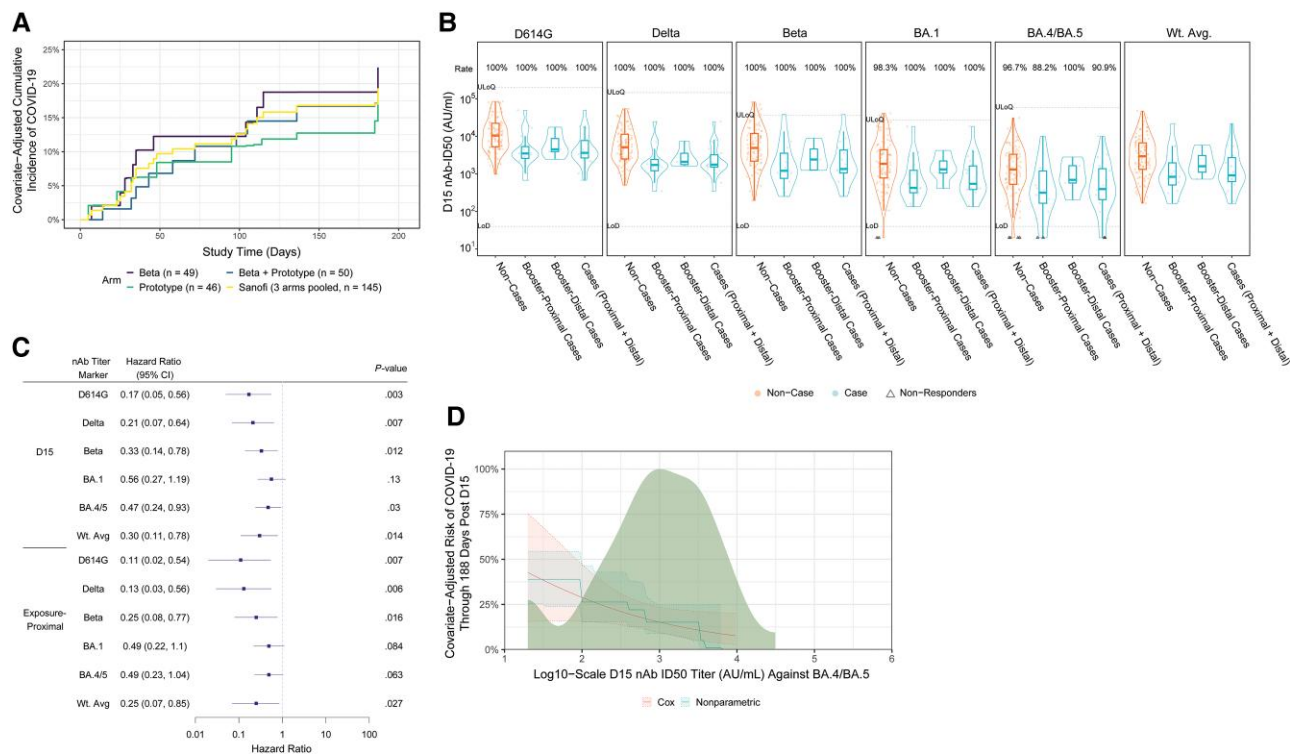


Figure 1. A, Covariate-adjusted cumulative incidence of COVID-19 from 7 through 188 d post D15 (last COVID-19 endpoint) for each booster arm (Beta, Prototype, Beta + Prototype) and for the three booster arms pooled. B, Violin box plots of D15 levels for the 6 nAb titer markers (D614G, Delta, Beta, BA.1, BA.4/BA.5, weighted average), shown by non-cases and COVID-19 endpoint cases (stratified by booster-proximal cases, booster-distal-cases, and proximal + distal cases). Non-cases: No evidence of SARS-CoV-2 infection after D1 through to the first event of (1) reaching 188 d post D15 visit without a COVID-19 event, (2) early termination, and (3) receiving an out-of-study boost. Booster-proximal cases: COVID-19 endpoint between 7 and 91 d post D15 visit; booster-distal cases: COVID-19 endpoint between 92 and 188 d post D15 visit; cases (proximal + distal): COVID-19 endpoint between 7 and 188 d post D15 visit. Rate: Percent with nAb titer above the limit of detection (LoD) = 40 AU/mL. C, Cox model covariate-adjusted hazard ratios of COVID-19 per 10-fold increase in each of the 6 nAb titer markers at D15 and exposure-proximal. Point estimates, 95% CIs, and 2-sided *P* values are shown. D, Covariate-adjusted controlled risk of COVID-19 by nAb ID50 titer against BA.4/BA.5 estimated using a Cox model (orange line) or a nonparametric method (turquoise line). Both curves were restricted to the middle 95% of the marker distribution. Shaded regions represent 95% CIs. The green shaded region is a kernel density estimate of \log_{10} D15 nAb-ID50 BA.4/BA.5 titer (AU/mL). Panels B–D pool over the 3 booster arms. All analyses adjust for baseline factors defined in the text, where adjustment for FOI score had no influence on results. Wt. Avg. = Maximum diversity weighted geometric mean of the 5 nAb titers D614G reference, Beta, Delta, Omicron BA.1, and Omicron BA.4/BA.5. Abbreviations: AU/mL, arbitrary units/mL; CI, confidence interval; COVID-19, coronavirus disease 2019; FOI, force of infection, nAb, neutralizing antibody; SARS-CoV-2, severe acute respiratory syndrome coronavirus 2; ULOQ, upper limit of quantitation.

Supplementary), baseline naive versus non-naive status defined by anti-nucleocapsid (N) seropositivity or self-reported previous infection (as in Branche et al [1]), and a force of infection (FOI) score calculated from the Coronavirus Resource Center’s database [4] that measures the intensity of SARS-CoV-2 infection occurring in a participant’s local temporal context. Each participant’s FOI score is the average of daily COVID-19 incidence rates in the database in the participant’s state (or District of Columbia) during their ~6-month follow-up (Supplementary Figure 1). Exposure-proximal CoR analyses fit

models using a calendar time scale and adjusted for baseline risk score and baseline anti-N serostatus. These analyses used linear mixed effects models to predict titers over time based on (1) nAb titers at D15, 29, 91, 181; (2) days since D15; and (3) baseline anti-N serostatus. The models were fit separately to the three vaccine arms including nAb titer values before any evidence of SARS-CoV-2 infection (details in Supplementary Materials). Supplementary Figure 2 shows measured versus predicted nAb titers over time.

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Figure 1A shows covariate-adjusted cumulative incidence of COVID-19 by vaccine arm, with ~20% participants diagnosed with COVID-19. Of the 22 participants that acquired COVID-19, 17 occurred by 3 months post D15. Supplementary Table 1 shows the distribution of lineages of the 22 COVID-19 endpoints: 2 (13) were identified by sequencing as BA.4 (BA.5) and 7 were imputed from sequences in GISAID to be BA.5. Figure 1B and Supplementary Table 2 show distributions of the nAb titers at D15 for non-cases versus COVID-19 endpoint cases, indicating lower D15 titers in the latter (Branche et al [1] previously described nAb titer distributions for the three individual vaccines). Figure 1B also shows distributions of nAb titers at D15 for booster-proximal versus -distal cases, with nAb titers of the former appearing especially low.

Supplementary Figures 3 and 4 show the nAb titer distributions at D1 and as fold-rise from D1 to D15. Supplementary Figure 5 shows weighted average nAb titer trajectories across the time points, showing stable titers through 6 months. Supplementary Figures 6 and 7 show the intercorrelations of the 6 nAb titer markers across the antigens at D1 and at D15, demonstrating high correlations (median Spearman rank correlation between pairs of markers 0.93 at D1 and 0.90 at D15). Supplementary Figure 8 shows the inter-correlations of the weighted average nAb titer marker across time points.

Figure 1C shows covariate-adjusted hazard ratios for each of the 6 markers at peak and as predicted time-varying covariates for exposure-proximal Cox models, showing consistent inverse CoRs, with peak CoR hazard ratios ranging from 0.17 to 0.56 per 10-fold marker increase across the markers (median P value = .033) and exposure-proximal CoR hazard ratios ranging from 0.11 to 0.49 across the markers (median P value .022). The peak correlates analysis restricting to the COVID-19 endpoints through 3 months (booster-proximal) also showed significant inverse CoRs (Supplementary Table 3). The 6 D1 to D15 fold-rise nAb titer markers were also assessed and not found to be CoRs (P values ranging from .29 to .87, Supplementary Table 4). Fold-rise, stratified by naive status, was modestly lower in cases, thus aligning with the Cox model results (Supplementary Figures 9–15).

Based on controlled risk modeling by a Cox model or monotone-constrained nonparametric analysis [5] previously applied to phase 3 trials [2, 6–9], Figure 1D shows how the cumulative incidence of COVID-19 from 7 through 188 days post D15 changes with D15 BA.4/BA.5 nAb titer. Hypothesis tests for the cumulative incidence varying with the D15 marker yielded $P = .030$ for the Cox model and $P = .038$ for the nonparametric model; these results were $P = .014$ (Cox) and $P = .024$ (nonparametric) for weighted average titer. The probability of BA.4/BA.5 COVID-19 acquisition was about 40%–50% at undetectable nAb titer and decreased to about 15% and 7% at nAb titers of 1000 and 10,000, respectively (nonparametric model). The pattern of decreasing COVID-19 risk with increasing D15

nAb titer occurred for all nAb titer markers (Supplementary Figure 16).

The US Government COVID-19 Vaccine Correlates of Protection Program [10] showed that nAb titer against D614G was a consistent inverse CoR of COVID-19 [11] for the Moderna mRNA-1273 vaccine [7, 9], Janssen AD26.CoV.2S vaccine [2], Astra-Zeneca Chimp-AdOx vaccine [6], and Novavax NVX-CoV2373 vaccine [6]. The results presented here for COVAIL are the first results that assessed nAb titer as a correlate for the Sanofi recombinant protein vaccine, where nAb titer was measured using the same assay (Monogram) employed in 3 of the phase 3 trials listed above. Correlates analyses are ongoing for the Sanofi VAT0008 phase 3 trial [12, 13], which as 2 harmonized placebo-controlled trials will inform about correlates of protection as well as about CoR, and will provide results restricted to non-naïve individuals separately for the Prototype and Beta + Prototype vaccines. The COVAIL results showed that nAb titer is also an inverse CoR for the combined Sanofi recombinant protein pre-S dTM AS03 boosters, both measured at peak/D15 and predicted over time. Compared to the previous results, interesting features of COVAIL include assessment of CoR in the context of Omicron circulating strains and inclusion of nAb titers measured against Omicron strains, and a sizable fraction (41.5%) of the cohort was estimated to have prior infection with SARS-CoV-2. Point estimates of CoRs for the Sanofi vaccine were as strong as has been observed in any of the phase 3 trials, although there are insufficient data to venture inferences about whether the CoR strength differs in COVAIL versus the phase 3 trials.

A limitation of this study is that the COVID-19 endpoint was defined in some (7/22) cases as a self-reported positive test, differing from the definition in the phase 3 trials that required central lab virologic confirmation and meeting pre-specified symptoms criteria. For the majority (15/22), the COVID-19 endpoint was virologically confirmed. Another limitation is only 22 evaluable breakthrough COVID-19 endpoints, which curtailed the set of objectives that could be addressed. In particular, CoRs could not be assessed separately by history of infection, nor separately by the 3 vaccine product arms, precluding a correlate of protection (CoP) analysis comparing COVID-19 incidence among the randomized groups. Similarly, there is low precision for comparing CoRs for COVID-19 endpoints proximal versus distal to the booster, and for comparing CoRs against different antigens.

The limitations above notwithstanding, point estimates from the analyses suggest the following hypothesis-generating results: (1) the CoRs were stronger against booster-proximal COVID-19 over the first 3 months, an intriguing trend given that nAb titers were stable through 6 months, suggesting waning of protective components of the immune response not captured by nAb titers; and (2) BA.4/BA.5 titer, which best matched the circulating strains (majority BA.4/BA.5), was not a stronger CoR compared to nAb titer against the original

D614G reference strain. Overall, this study provides evidence that pseudovirus neutralizing antibody titer—measured with a consistent assay employed for other US Government program studies—constitutes a biomarker that can be used to predict risk of COVID-19 after a Sanofi pre-s dTM AS03 booster.

Supplementary Data

Supplementary materials are available at *Clinical Infectious Diseases* online. Consisting of data provided by the authors to benefit the reader, the posted materials are not copyedited and are the sole responsibility of the authors, so questions or comments should be addressed to the corresponding author.

Notes

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Data availability. All data were previously included with Branche et al [1].

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